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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | P-5211 |
| First Inventor | Hall et al. |
| Title | Multi-Well Plate and Assembly Adapted for Mechanical Manipulation |
| Express Mail Label No. | EL 416966698US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
 - ☐ Applicant claims small entity status.
See 37 CFR 1.27.
 - ☒ Specification [Total Pages **11**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 - ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **12**]
 - Oath or Declaration [Total Pages - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

26253

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

| | | | | | |
|---------|-----------------------------------|-----------|--------------|----------|--------------|
| Name | Richard J. Rodrick, Esq. - MC 089 | | | | |
| | Becton, Dickinson and Company | | | | |
| Address | 1 Becton Drive | | | | |
| City | Franklin Lakes | State | New Jersey | Zip Code | 07417 |
| Country | U.S.A. | Telephone | 201-847-7116 | Fax | 201-838-9228 |

| | | | |
|-------------------|--------------------------|-----------------------------------|--------|
| Name (Print/Type) | Nanette S. Thomas, Esq. | Registration No. (Attorney/Agent) | 33,310 |
| Signature | <i>Nanette S. Thomas</i> | Date | 8-1-01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|----------------|
| Application Number | |
| Filing Date | August 1, 2001 |
| First Named Inventor | Hall et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | P-5211 |

TOTAL AMOUNT OF PAYMENT \$710.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-1666

Deposit Account Name: Becton, Dickinson and Company

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☒ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 101 | 710 | 201 | 355 | | | Utility filing fee | 710.00 |
| 106 | 320 | 206 | 160 | | | Design filing fee | |
| 107 | 490 | 207 | 245 | | | Plant filing fee | |
| 108 | 710 | 208 | 355 | | | Reissue filing fee | |
| 114 | 150 | 214 | 75 | | | Provisional filing fee | |
| SUBTOTAL (1) | | | | | | | \$710.00 |

2. EXTRA CLAIM FEES

| Extra Claims | | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | 9 - 20** = 0 | X | 0.00 |
| Independent Claims | 3** = 0 | X | 0.00 |
| Multiple Dependent | | | |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| 103 | 18 | 203 | 9 | | | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | | | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | | | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | | | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | | | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | | | \$0.00 |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| 105 | 130 | 205 | 65 | | | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | | | Non - English specification | |
| 147 | 2,520 | 147 | 2,520 | | | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | | | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | | | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | | | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | | | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | | | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | | | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | | | Notice of Appeal | |
| 120 | 310 | 220 | 155 | | | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | | | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | | | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | | | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | | | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | | | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | | | Design issue fee | |
| 144 | 600 | 244 | 300 | | | Plant issue fee | |
| 122 | 130 | 122 | 130 | | | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | | | Processing fee under 37 CFR § 1.17(q) | |
| 126 | 180 | 126 | 180 | | | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | | | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | | | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | | | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

SUBMITTED BY

| | | | | | |
|-------------------|--------------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Nanette S. Thomas, Esq. | Registration No. (Attorney/Agent) | 33,310 | Telephone | 201-847-7049 |
| Signature | <i>Nanette S. Thomas</i> | | | Date | 8-1-01 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE BENEFIT OF
PRIOR U.S. APPLICATION(S) CLAIMED

NOTE: SEE 37 C.F.R. § 1.78.

17. Relate Back

WARNING: *If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. §§ 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. §§ 120, 121 or 365(c). (35 U.S.C. § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. §§ 119, 365(a) or 365(b). For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application, and, if not, the applicant should consider cancelling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.*

(complete the following, if applicable)

☐ Amend the specification by inserting, before the first line, the following sentence:

A. 35 U.S.C. § 119(e)

NOTE: "Any nonprovisional application claiming the benefit of one or more prior filed copending provisional applications must contain or be amended to contain in the first sentence of the specification following the title a reference to each such prior provisional application, identifying it as a provisional application, and including the provisional application number (consisting of series code and serial number)". 37 C.F.R., § 1.78(a)(4).

☒ "This application claims the benefit of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S).:

60 / 236,391//

FILING DATE

September 29, 2000 " " "

(Added Pages for Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed
[4-1.1] -page 1 of 5)